

**UCLA**

**Computer  
Science**



# Neuro-Symbolic AI with Tractable Deep Generative Models

Guy Van den Broeck

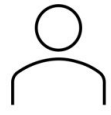
NeurIPS MATH-AI Workshop - Dec 15 2023

# Outline

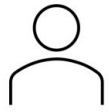
1. Language generation *with constraints*
2. Structured output learning *with constraints*
3. Autoregressive model learning *with constraints*

# Outline

1. **Language generation *with constraints***
2. Structured output learning *with constraints*
3. Autoregressive model learning *with constraints*



Generate a sentence using "frisbee", "caught" and "dog", following the given order.

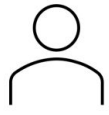


Generate a sentence using "frisbee", "caught" and "dog", following the given order.



After a perfect throw, the frisbee glided through the air, and the dog, with incredible agility, caught it mid-flight.

*ChatGPT*

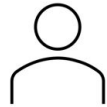


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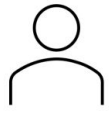


That's not correct. Generate a sentence using "frisbee", "caught" and "dog". The keywords should appear in the order as specified.



Here's the correct sentence: The dog caught the frisbee in mid-air, showing off its amazing catching skills.

*ChatGPT*

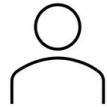


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*ChatGPT*



A frisbee is caught by a dog.

A pair of frisbee players are caught in a dog fight.

*GeLaTo*

# What do we have?

Prefix: “The weather is”

Constraint  $\alpha$ : text contains “winter”

Model only does  $p(\text{next-token}|\text{prefix}) =$

cold	0.05
warm	0.10

Train some  $q(.|\alpha)$  for a specific task distribution  $\alpha \sim p_{\text{task}}$   
(*amortized inference, encoder, masked model, seq2seq, prompt tuning,...*)

Train  $q(\text{next-token}|\text{prefix}, \alpha)$



# What do we need?

Prefix: “The weather is”

Constraint  $\alpha$ : text contains “winter”

Generate from  $p(\text{next-token}|\text{prefix}, \alpha) =$

cold	0.50
warm	0.01

$$\propto \sum_{\text{text}} p(\text{next-token}, \text{text}, \text{prefix}, \alpha)$$

***Marginalization!***

# Tractable Probabilistic Models

Tractable Probabilistic Models (TPMs)  
model **joint probability distributions**  
and allow **efficient** probabilistic inference.

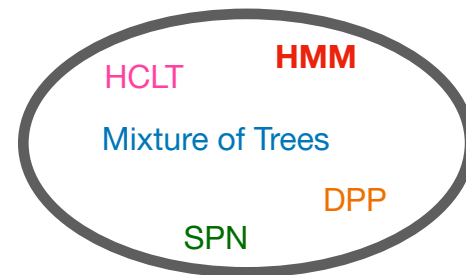
e.g., efficient marginalization:

$$p_{\text{TPM}}(\text{3rd token} = \text{frisbee}, \text{5th token} = \text{dog})$$

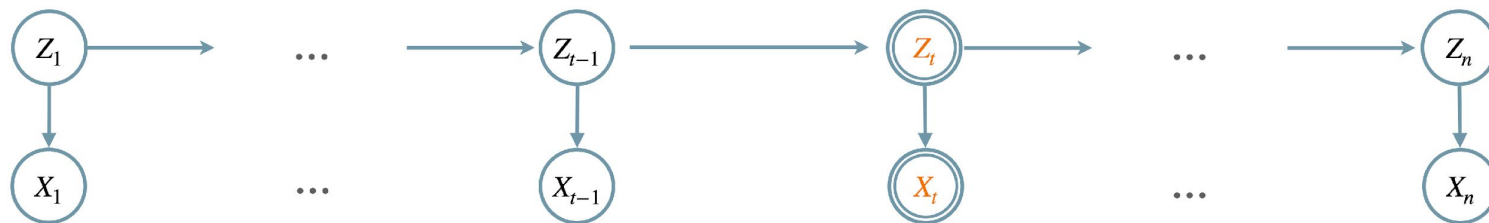
Easily understood as **tractable probabilistic circuits**.

For now... keep it simple... just a Hidden Markov Model (HMM)

Probabilistic (Generating) Circuits



# Step 1: Distill an HMM $p_{\text{hmm}}$ that approximates $p_{\text{gpt}}$



1. HMM with 4096 hidden states and 50k emission tokens
2. Data sampled from GPT2-large (domain-adapted), minimizing  $\text{KL}(p_{\text{gpt}} // p_{\text{HMM}})$
3. Leverages latent variable distillation for training at scale [ICLR 23].  
(Cluster embeddings of examples to estimate latent  $Z_i$ )

# CommonGen: a Challenging Benchmark

Given 3-5 keywords, generate a sentence using all keywords, in any order and any form of inflections. e.g.,

Input: snow drive car

Reference 1: A car drives down a snow covered road.

Reference 2: Two cars drove through the snow.

Constraint  $\alpha$  in CNF:  $(w_{1,1} \vee \dots \vee w_{1,d_1}) \wedge \dots \wedge (w_{m,1} \vee \dots \vee w_{m,d_m})$

Each clause represents the inflections for one keyword.

# Computing $p(\alpha \mid x_{1:t+1})$

For constraint  $\alpha$  in CNF:

$$(w_{1,1} \vee \dots \vee w_{1,d_1}) \wedge \dots \wedge (w_{m,1} \vee \dots \vee w_{m,d_m})$$

e.g.,  $\alpha = (\text{"swims"} \vee \text{"like swimming"}) \wedge (\text{"lake"} \vee \text{"pool"})$

Efficient algorithm:

For  $m$  clauses and sequence length  $n$ , time-complexity for HMM generation is  $O(2^{|m|}n)$

Trick: dynamic programming with clever preprocessing and local belief updates

# GeLaTo Overview



**Lexical Constraint**  $\alpha$ : sentence contains keyword "winter"

**Constrained Generation:**  $\Pr(x_{t+1} | \alpha, x_{1:t} = \text{"the weather is"})$

**✗ intractable**

**✓ efficient**

Pre-trained  
Language Model

Tractable  
Probabilistic Model

Minimize KL-divergence

$x_{t+1}$	$\Pr_{LM}(x_{t+1}   x_{1:t})$
cold	0.05
warm	0.10

$x_{t+1}$	$\Pr_{TPM}(\alpha   x_{t+1}, x_{1:t})$
cold	0.50
warm	0.01

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$x_{t+1}$	$\Pr_{LM}(x_{t+1}   x_{1:t})$
cold	0.05
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$x_{t+1}$	$\Pr_{TPM}(\alpha   x_{t+1}, x_{1:t})$
cold	0.50
warm	0.01

$x_{t+1}$	$p(x_{t+1}   \alpha, x_{1:t})$
cold	0.025
warm	0.001

## Step 2: Control $p_{gpt}$ via $p_{hmm}$

### Unsupervised

Language model is not  
fine-tuned/prompted to satisfy constraints

By Bayes rule:

$$p_{gpt}(x_{t+1} | x_{1:t}, \alpha) \propto p_{gpt}(\alpha | x_{1:t+1}) \cdot p_{gpt}(x_{t+1} | x_{1:t})$$

Assume  $p_{hmm}(\alpha | x_{1:t+1}) \approx p_{gpt}(\alpha | x_{1:t+1})$ , we  
generate from:

$$p(x_{t+1} | x_{1:t}, \alpha) \propto p_{hmm}(\alpha | x_{1:t+1}) \cdot p_{gpt}(x_{t+1} | x_{1:t})$$

Method	Generation Quality								Constraint Satisfaction			
	ROUGE-L		BLEU-4		CIDEr		SPICE		Coverage		Success Rate	
	<i>dev</i>	<i>test</i>	<i>dev</i>	<i>test</i>	<i>dev</i>	<i>test</i>	<i>dev</i>	<i>test</i>	<i>dev</i>	<i>test</i>	<i>dev</i>	<i>test</i>
<i>Unsupervised</i>												
InsNet (Lu et al., 2022a)	-	-	18.7	-	-	-	-	-	<b>100.0</b>	-	<b>100.0</b>	-
NeuroLogic (Lu et al., 2021)	-	41.9	-	24.7	-	14.4	-	27.5	-	96.7	-	-
A*esque (Lu et al., 2022b)	-	<b>44.3</b>	-	28.6	-	15.6	-	29.6	-	97.1	-	-
NADO (Meng et al., 2022)	-	-	26.2	-	-	-	-	-	96.1	-	-	-
GeLaTo	<b>44.6</b>	44.1	<b>29.9</b>	<b>29.4</b>	<b>16.0</b>	<b>15.8</b>	<b>31.3</b>	<b>31.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>



# Step 2: Control $p_{gpt}$ via $p_{hmm}$

## Supervised

Language model is fine-tuned to perform constrained generation (e.g. seq2seq)

Empirically  $p_{HMM}(\alpha | x_{1:t+1}) \approx p_{gpt}(\alpha | x_{1:t+1})$   
does not hold well enough;

we view  $p_{HMM}(x_{t+1} | x_{1:t}, \alpha)$  and  $p_{gpt}(x_{t+1} | x_{1:t})$  as classifiers trained for the same task with different biases; thus we generate from their weighted geometric mean:

$$p(x_{t+1} | x_{1:t}, \alpha) \propto p_{hmm}(x_{t+1} | x_{1:t}, \alpha)^w \cdot p_{gpt}(x_{t+1} | x_{1:t})^{1-w}$$

Method	Generation Quality								Constraint Satisfaction			
	ROUGE-L		BLEU-4		CIDEr		SPICE		Coverage		Success Rate	
<i>Supervised</i>	<i>dev</i>	<i>test</i>	<i>dev</i>	<i>test</i>	<i>dev</i>	<i>test</i>	<i>dev</i>	<i>test</i>	<i>dev</i>	<i>test</i>	<i>dev</i>	<i>test</i>
NeuroLogic (Lu et al., 2021)	-	42.8	-	26.7	-	14.7	-	30.5	-	97.7	-	93.9 <sup>†</sup>
A*esque (Lu et al., 2022b)	-	43.6	-	28.2	-	15.2	-	30.8	-	97.8	-	97.9 <sup>†</sup>
NADO (Meng et al., 2022)	44.4 <sup>†</sup>	-	30.8	-	16.1 <sup>†</sup>	-	<b>32.0<sup>†</sup></b>	-	97.1	-	88.8 <sup>†</sup>	-
GeLaTo	<b>46.0</b>	<b>45.6</b>	<b>34.1</b>	<b>32.9</b>	<b>16.7</b>	<b>16.8</b>	31.3	<b>31.9</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>	<b>100.0</b>

# Advantages of GeLaTo:

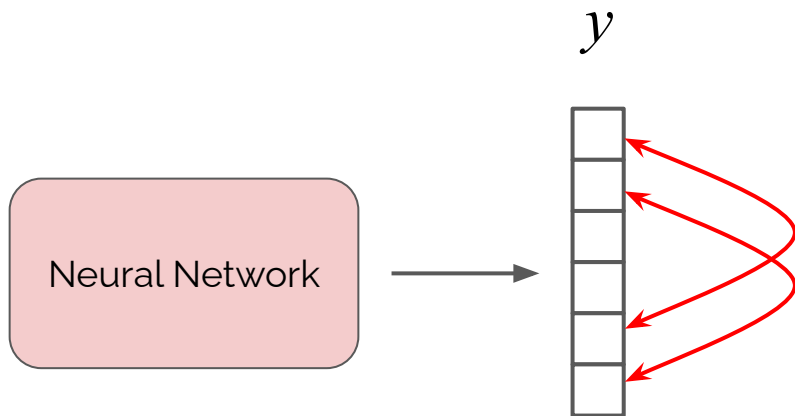
1. Constraint  $\alpha$  is guaranteed to be satisfied:  
for any next-token  $x_{t+1}$  that would make  $\alpha$  unsatisfiable,  $p(x_{t+1} | x_{1:t}, \alpha) = 0$ .
2. Training  $p_{\text{hmm}}$  does not depend on  $\alpha$ ,  
which is only imposed at inference (generation) time.
3. Can impose additional tractable constraints:
  - keywords follow a particular order
  - keywords appear at a particular position
  - keywords must not appear

Conclusion: you can control an intractable generative model using a tractable probabilistic circuit.

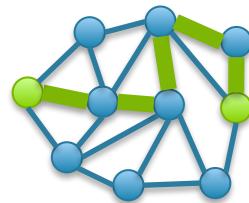
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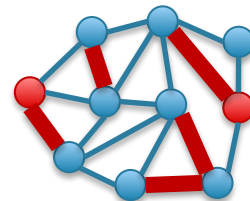
# Declarative Knowledge of the Output



How is the output structured?  
Are all possible outputs valid?



vs.



How are the outputs related to each other?

Learning this from data is inefficient  
Much easier to express this declaratively

# pylon

PyTorch Code

```
for i in range(train_iters):  
    ...  
    py = model(x)  
    ...  
    loss = CrossEntropy(py, ...)
```

1

Specify knowledge as a predicate

```
def check(y):  
    ...  
    return isValid
```

# pylon

PyTorch Code

```
for i in range(train_iters):  
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    loss += constraint_loss(check)(py)
```

1 Specify knowledge as a predicate

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def check(y):  
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```

2 Add as loss to training

loss += **constraint\_loss(check)**

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PyTorch Code

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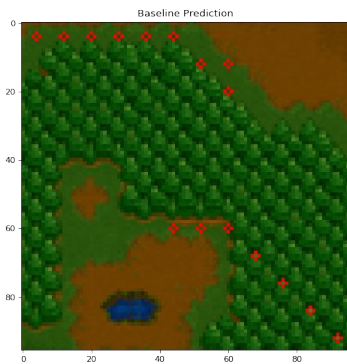
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def check(y):  
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3 pylon derives the gradients  
(solves a combinatorial problem)

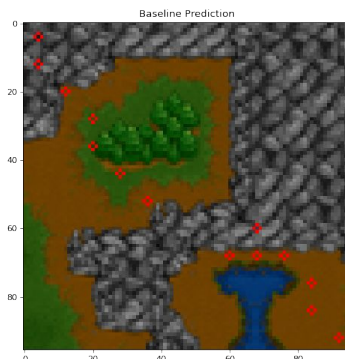
*without constraint*



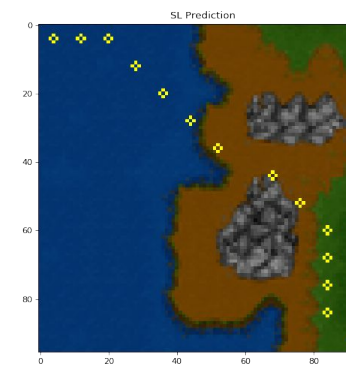
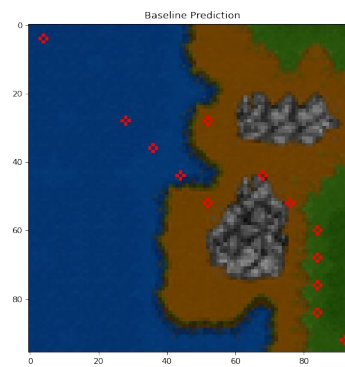
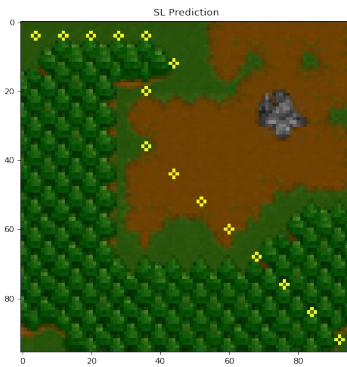
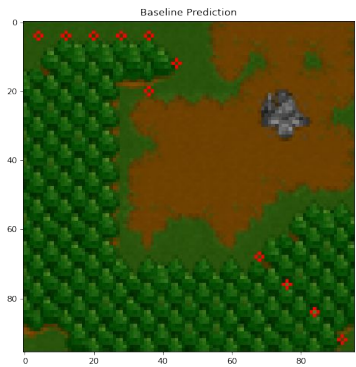
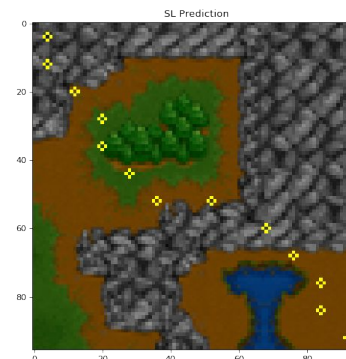
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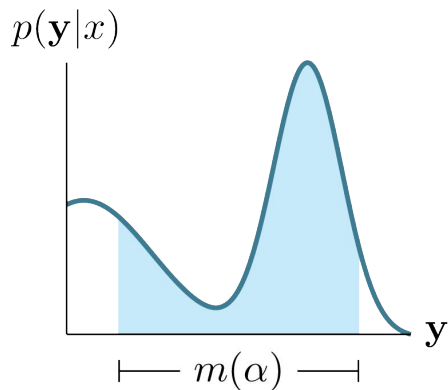
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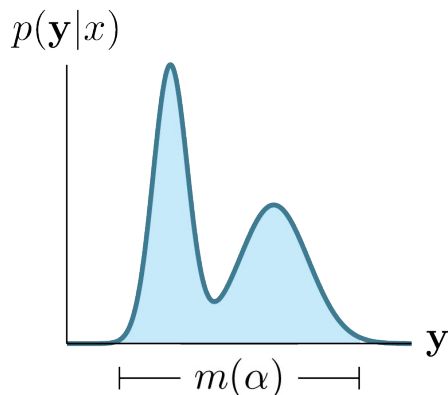
*with constraint*







a) A network uncertain over both valid & invalid predictions



c) A network allocating most of its mass to models of constraint

$$L^S(\alpha, \mathbf{p}) \propto -\log \underbrace{\sum_{\mathbf{x} \models \alpha} \prod_{i: \mathbf{x} \models X_i} p_i \prod_{i: \mathbf{x} \models \neg X_i} (1 - p_i)}_{\text{Probability of satisfying constraint } \alpha \text{ after sampling from neural net output layer } \mathbf{p}}$$

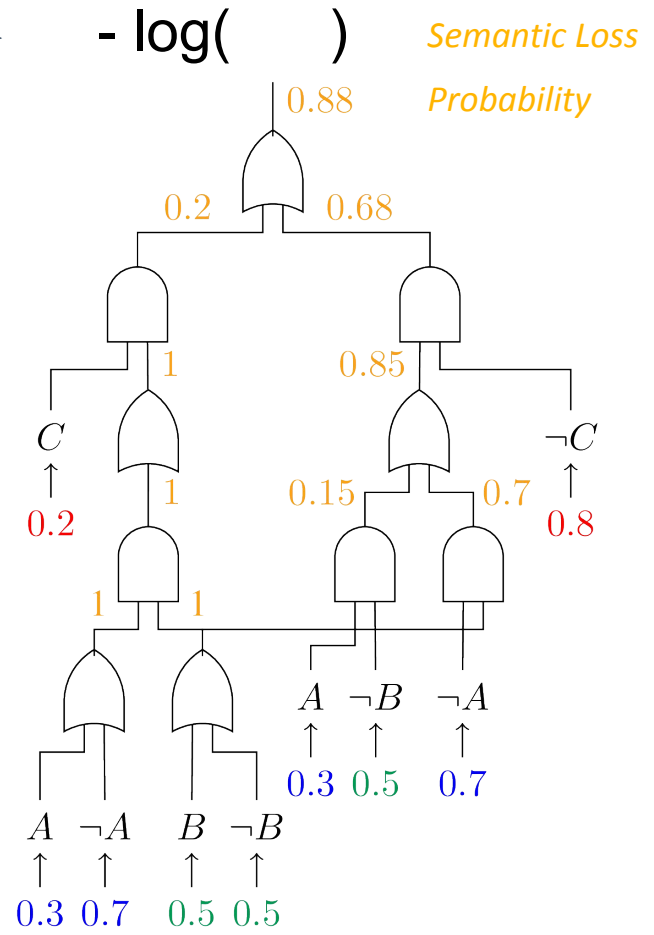
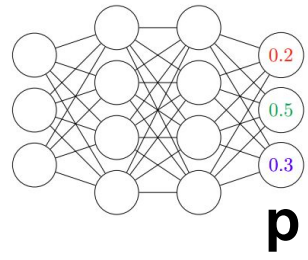
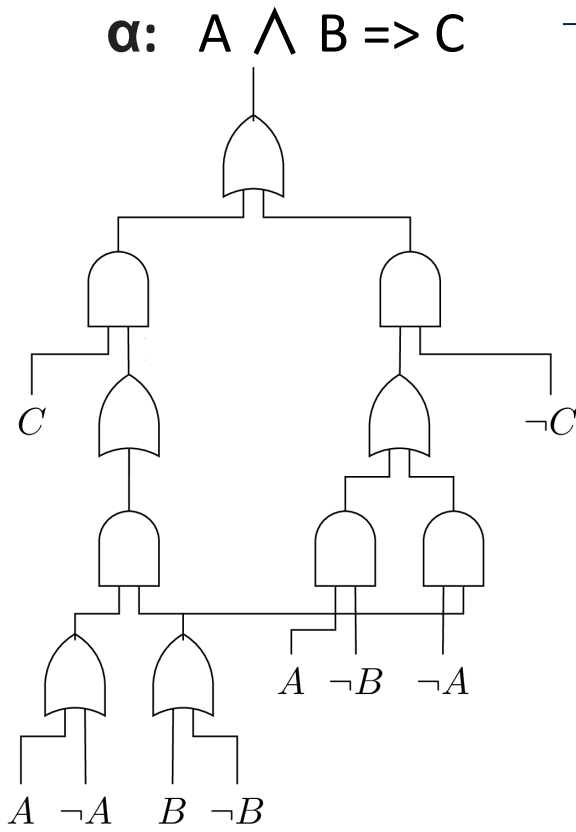


**Semantic Loss**

Probability of satisfying constraint  $\alpha$  after sampling from neural net output layer  $\mathbf{p}$

In general: #P-hard 😞

Do this probabilistic-logical reasoning during learning in a computation graph



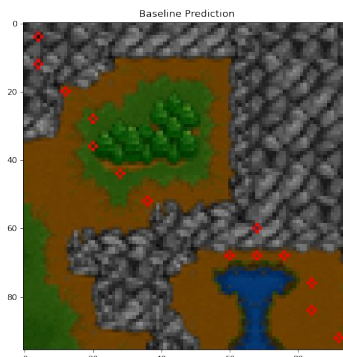
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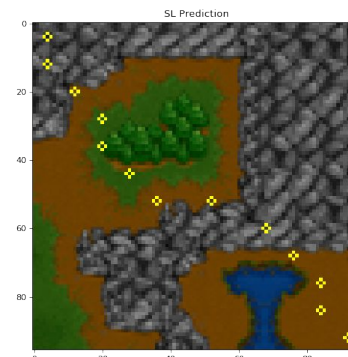
*with constraint*



*without constraint*



*with constraint*



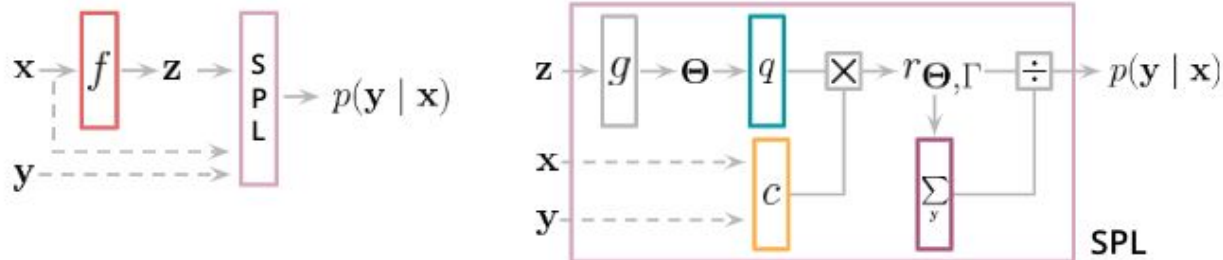
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ARCHITECTURE	EXACT MATCH	HAMMING SCORE	CONSISTENCY
RESNET-18+FIL	55.0	<b>97.7</b>	56.9
RESNET-18+ $\mathcal{L}_{SL}$	59.4	<b>97.7</b>	61.2

---

# Semantic Probabilistic Layers

- How to give a 100% guarantee that Boolean constraints will be satisfied?
- Bake the constraint into the neural network as a special layer



- Secret sauce is again tractable circuits – computation graphs for reasoning



GROUND TRUTH



RESNET-18



SEMANTIC LOSS



SPL (ours)

ARCHITECTURE	EXACT MATCH	HAMMING SCORE	CONSISTENCY
RESNET-18+FIL	55.0	<b>97.7</b>	56.9
RESNET-18+ $\mathcal{L}_{SL}$	59.4	<b>97.7</b>	61.2
RESNET-18+SPL	75.1	97.6	<b>100.0</b>
OVERPARAM. SPL	<b>78.2</b>	96.3	<b>100.0</b>

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1. Language generation *with constraints*
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# *Autoregressive distributions are hard...*

$\Pr(\alpha)$  is **computationally hard**, even when  $\alpha$  is trivial:

*What is prob. that LLM ends the sentence with “NeurIPS”?*

# *Autoregressive distributions are hard...*

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*What is prob. that LLM ends the sentence with “NeurIPS”?*

Why did it work before?

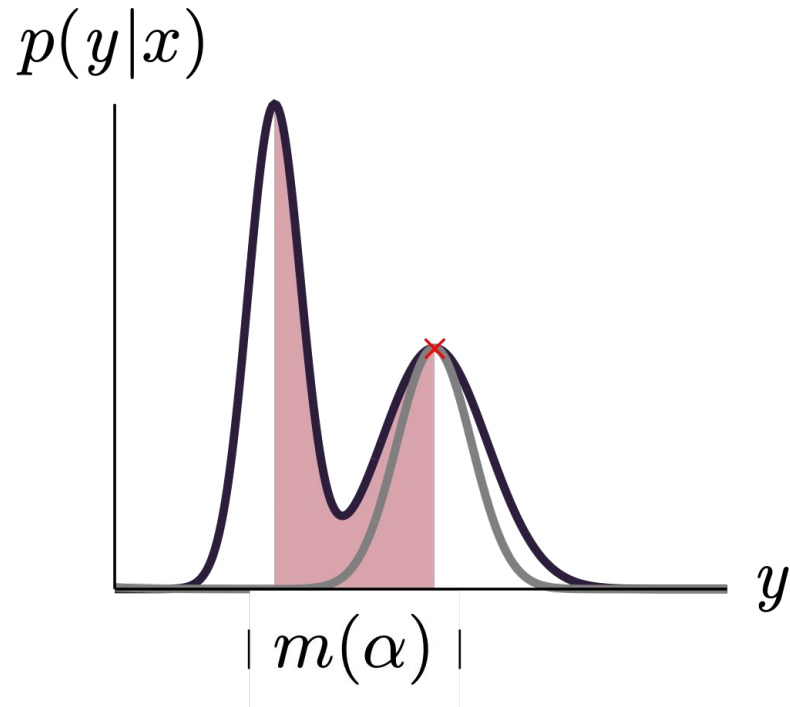
$$L^s(\alpha, \mathbf{p}) \propto -\log \underbrace{\sum_{\mathbf{x} \models \alpha} \prod_{i: \mathbf{x} \models X_i} p_i \prod_{i: \mathbf{x} \models \neg X_i} (1 - p_i)}_{\text{Probability of satisfying constraint } \alpha \text{ after sampling from neural net output layer } \mathbf{p}}$$

Probability of satisfying constraint  $\alpha$   
after sampling from neural net output layer  $\mathbf{p}$   
**ASSUMING INDEPENDENT BERNOULLI'S**



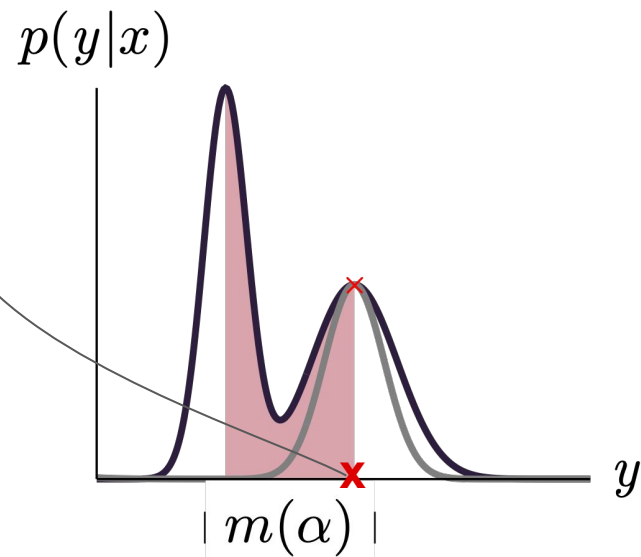
## Basic Idea:

Use how likely a constraint is to be satisfied around a model sample ( $x$ ) as a proxy for how likely it is to be satisfied under the entire distribution. Average over many such samples.



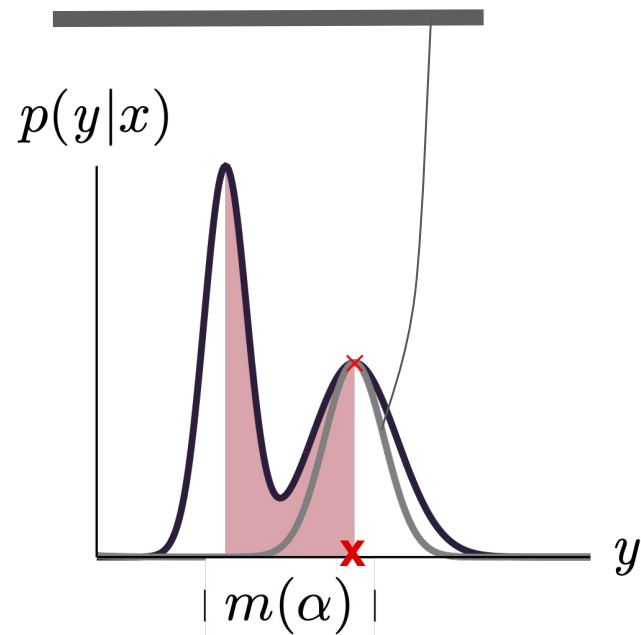
Formally, minimize the *pseudo-semantic loss*

$$\mathcal{L}_{\text{pseudo}}^{\text{SL}} := -\log \mathbb{E}_{\tilde{\mathbf{y}} \sim p} \sum_{\mathbf{y} \models \alpha} \prod_{i=1}^n p(\mathbf{y}_i \mid \tilde{\mathbf{y}}_{-i})$$



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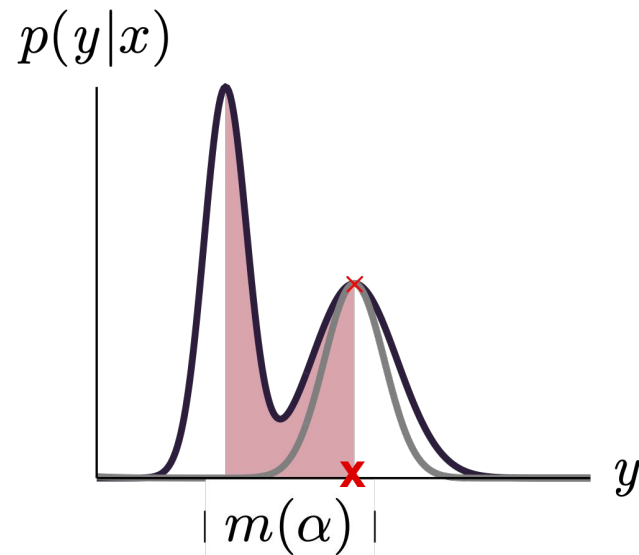


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How good is this approximation?

- **Local:**  
~30 bits entropy vs ~80 for GPT-2.
- **Fidelity:**  
4 bits KL-divergence from GPT-2.



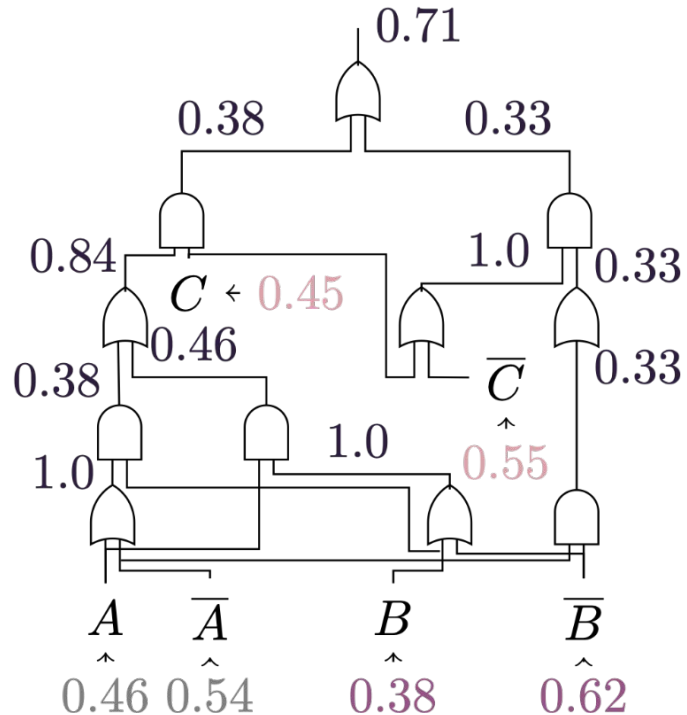
# How to compute pseudo-semantic loss?

$$p_{\theta} \sim abc$$

$$\rightarrow \begin{cases} abc & abc & abc \\ \bar{a}bc & a\bar{b}c & ab\bar{c} \end{cases}$$

$$\rightarrow \begin{cases} p(abc) = 0.13 & p(abc) = 0.13 & p(abc) = 0.13 \\ p(\bar{a}bc) = 0.15 & p(a\bar{b}c) = 0.21 & p(ab\bar{c}) = 0.16 \end{cases}$$

$$\rightarrow \begin{cases} p(a|bc) = 0.46 & p(b|ac) = 0.38 & p(c|ab) = 0.45 \\ p(\bar{a}|bc) = 0.54 & p(\bar{b}|ac) = 0.62 & p(\bar{c}|ab) = 0.55 \end{cases}$$



# Sudoku

Test accuracy %	Exact	Consistent
ConvNet	16.80	16.80
ConvNet + SL	22.10	22.10
RNN	22.40	22.40
RNN + PSEUDOSL	<b>28.20</b>	<b>28.20</b>

9	6			2	4			
3	4		6	9	7		8	
1	5		8		4	9		6
4	9				5			
5				6	8		1	4
2	8		7	4	3	6		
7	2		4		1	3	6	
	1			8	9		5	
8					6		4	

9	6	8	5	1	2	4	7	3
3	4	2	6	9	7	5	8	1
1	5	4	8	3	4	9	2	6
4	9	6	1	2	5	8	3	7
5	7	3	9	6	8	2	1	4
2	8	1	7	4	3	6	9	5
7	2	9	4	5	1	3	6	8
6	1	4	3	8	9	7	5	2
8	3	5	2	7	6	1	4	9

# Detoxify LLMs by disallowing bad words

Constraint  $\alpha$  is a list of 403 toxic words  
Evaluation is a toxicity classifier

Models	Avg. Toxicity ( $\downarrow$ )		
	Full	Toxic	Nontoxic
GPT-2	0.11 $\pm$ 0.15	0.69 $\pm$ 0.13	0.09 $\pm$ 0.19
GPT-2 + NeuroLogic [25]	0.08 $\pm$ 0.14	0.66 $\pm$ 0.13	<b>0.06 <math>\pm</math> 0.08</b>
GPT-2 + Word Banning	0.12 $\pm$ 0.16	0.69 $\pm$ 0.13	0.09 $\pm$ 0.11
PseudoSL	<b>0.06 <math>\pm</math> 0.09</b>	0.59 $\pm$ 0.04	<b>0.06 <math>\pm</math> 0.08</b>
PseudoSL + NeuroLogic [25]	<b>0.05 <math>\pm</math> 0.10</b>	0.68 $\pm$ 0.15	<b>0.05 <math>\pm</math> 0.07</b>
PseudoSL + Word Banning	<b>0.06 <math>\pm</math> 0.09</b>	<b>0.58 <math>\pm</math> 0.01</b>	<b>0.06 <math>\pm</math> 0.08</b>

# Detoxify LLMs by disallowing bad words

Constraint  $\alpha$  is a list of 403 toxic words  
Evaluation is a toxicity classifier

Models		Avg. Toxicity ( $\downarrow$ )			Valid. PPL
		Full	Toxic	Nontoxic	
<b>Domain-Adaptive Training</b>	GPT-2	$0.12 \pm 0.15$	$0.67 \pm 0.12$	$0.10 \pm 0.11$	24.52
	SGEAT	<b><math>0.07 \pm 0.09</math></b>	$0.64 \pm 0.11$	<b><math>0.06 \pm 0.08</math></b>	25.93
	PseudoSL	<b><math>0.07 \pm 0.09</math></b>	<b><math>0.61 \pm 0.09</math></b>	$0.07 \pm 0.09$	26.60



# Outline

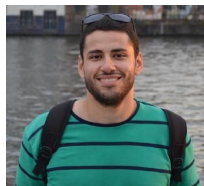
1. Language generation *with constraints*
2. Structured output learning *with constraints*
3. Autoregressive model learning *with constraints*

# Thanks

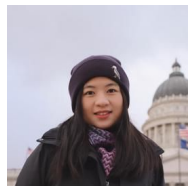
*This was the work of many wonderful students/postdocs/collaborators!*



Honghua



Kareem



Zhe



Meihua



Anji

References: <http://starai.cs.ucla.edu/publications/>